1	Mechanisms for the Emergence of Ocean Striations in the North Pacific
2	A. Davis*, E. Di Lorenzo and H. Luo
3	School of Earth and Atmospheric Sciences
4	Georgia Institute of Technology
5	
6	A. Belmadani, N. Maximenko, O. Melnichenko and N. Schneider
7	International Pacific Research Center
8	University of Hawaii
9	
10	A. Belmadani
11	Department of Geophysics
12	Universidad de Concepcion
13	
14	In preparation for
15	Geophysical Research Letters
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17	*Corresponding Author:
18	Andrew Davis
19	School of Earth and Atmospheric Sciences
20	Georgia Institute of Technology
21	311 Ferst Drive, Atlanta, GA 30332
22	Email: andrew.davis@gatech.edu

- 23 KEY POINTS
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- 25 NEP striations form as coastal vorticity propagates offshore via beta-plumes.
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- 27 Vorticity is anchored by coastal geometry, so striations remain stationary.
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- 29 Striation magnitude is constrained at the shelf by potential vorticity trapping.

32 Recent observations suggest that the mean mesoscale oceanic zonal velocity field is 33 dominated by alternating jet-like features often referred to as striations. Here the 34 generating dynamics of Northeast Pacific striations are explored with a set of 120-year 35 eddy-permitting model simulations. Simulations are conducted with decreasing 36 complexity towards idealized configurations retaining the essential dynamics and forcing 37 necessary for striation development. For each simulation, we diagnose the spin-up of the 38 ocean model and the sensitivity of striation generation to topography, coastal geometry, 39 and the wind stress, which modulates the gyre circulation and the nonlinearity of the flow 40 field.

Results indicate that Northeast Pacific striations develop predominantly at the eastern boundary and migrate westward in congruence with beta-plumes both in the nonlinear and quasi-linear regimes. Mean striations have their source in the coastline geometry, which provides quasi-steady vorticity sources energized by eastern boundary current instabilities.

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51	Index Terms
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53	Numerical modeling
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55	Continental shelf and slope processes
56	

57 Time series experiment

58 **1. INTRODUCTION** 

59 Observations have determined that the mean mesoscale oceanic zonal velocity field 60 is dominated by quasi-permanent jet-like features commonly referred to as striations 61 (Maximenko et al., 2005; 2008; Huang et al., 2007; Ivanov et al., 2009; van Sebille et al., 62 2011; Buckingham and Cornillon, 2013). These features have also been detected in high-63 resolution ocean models (Nakano and Hasumi, 2005; Richards et al., 2006; Kamenkovich 64 et al., 2009) including the Regional Ocean Modeling System (ROMS) (Huang et al., 65 2007). Although mechanisms for the emergence of mean zonal jets have been suggested 66 using theory and idealized models (Rhines 1975; Maltrud and Vallis 1991; Panetta 1993; 67 Rhines, 1994; Cho and Polvani, 1996; Galperin et al., 2006; Nadiga, 2006; Baldwin et al., 68 2007; Dritschel and McIntyre, 2008), the dynamics of striations remain uncertain.

Scott et al. (2008) showed that mesoscale eddies follow preferred pathways and so 69 70 may produce the striated features seen in mean zonal velocity. Schlax and Chelton (2008) 71 suggested that striations are an artifact of time-averaging large random mesoscale eddies. 72 Melnichenko et al. (2010) showed, however, that eddies contribute to the potential 73 vorticity (PV) variance of striations, indicating that they are dynamically distinct. Hristova 74 et al. (2008) hypothesized that striations might be related to radiating instabilities of 75 eastern boundary currents (EBC's). Wang et al. (2012) showed using a simple single-layer 76 quasi-geostrophic model that radiating modes excited nonlinearly within an EBC do 77 trigger striations.

Centurioni et al. (2008) reconstructed the time-mean map of geostrophic velocities
at 15 m depth using drifters and satellite altimetry and found zonal currents connected to
permanent meanders of the California Current System (CCS). They proposed that vorticity

associated with these meanders radiates Rossby waves that form stationary jets known as
beta-plumes (Rhines, 1994; Afanasyev et al., 2012; Belmadani et al., 2013).

Here we test this hypothesis with sensitivity experiments using model output. By altering the model bathymetry, we remove the effect of topographic features and a continental slope. We then decrease the strength of atmospheric forcing by an order of magnitude to test the role of nonlinear dynamics, as well as coarsen the resolution of the model to 40 km to test the role of eddy variability. Finally, we replace the eastern boundary coastline with a flat meridional wall to test the effects of coastal geometry.

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### 2. OCEAN MODEL AND EXPERIMENTAL SETUP

91 This analysis employs a set of 120-year ROMS integrations (Shchepetkin and McWilliams, 2005; Haidvogel et al., 2008, Curchitser et al., 2005) over 180°W-105°W; 92 93 9°N-53°N with a horizontal resolution of 20 km and 30 vertical layers. This configuration 94 has captured both the mean and variability of the CCS (Marchesiello et al. 2003; Di 95 Lorenzo et al., 2008; Di Lorenzo et al., 2009). Vertical diffusion is parameterized 96 according to the Large/McWilliams/Doney scheme (Large et al., 1994). Forcing is a 97 climatological NCEP wind stress (Kistler et al., 2001) without buoyancy fluxes. NCEP 98 heat fluxes are employed with a nudging toward NOAA extended sea surface temperatures 99 (SST's) (Smith and Reynolds, 2004) in order to avoid drifts in model SST (Josey, 2001). 100 Horizontal boundaries are closed walls, and the control topography is extracted from 101 Smith and Sandwell (1994). Integrations begin from rest with a uniform density profile 102 extracted from the World Ocean Atlas 2005 (Locarnini et al., 2006; Antonov et al., 2006).

Striations are diagnosed using zonal currents at 300 m, where the signature of the gyrecirculation is reduced.

105 The role of topography is explored in a set of experiments (*flat+slope*) (Table 1), in 106 which a uniform bottom depth (5000 m) is prescribed everywhere except along the eastern 107 boundary (and around the Hawaiian and Aleutian islands). Here a uniform shelf slope was 108 applied. The slope was taken from the average continental slope between 30°N and 40°N. 109 Within the *flat+slope* set, the role of nonlinearity was determined by reducing the strength 110 of the forcing by a factor of ten (*flat+slope*, weakly nonlinear). The role of mesoscale 111 eddies was determined by further coarsening the grid to 40 km (*flat+slope*, weakly 112 nonlinear, non-eddy resolving). In the *flat* runs, sensitivity to topography was determined 113 by removing the continental shelf and prescribing a uniform 5000 m bottom depth. In the 114 wall run, the coastlines are replaced with a meridional wall at 125°W. The control, 115 *flat+slope*, *flat*, and *wall* integrations are all able to reproduce the gyre circulation (Figs. 116 1a, 1b, 1c, and 1d).

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### 118 **3.** Spin-up of striations from the California Current

Progressive means of 300 m zonal velocities from the *control* run over the first 6, 120 12, and 120 months (Figs. 2a, 2b, and 2c) indicate that striations emerge as zonal plumes 121 generated offshore from notable topographic features, as well as features of the California 122 coastline, consistent with observations (Centurioni et al., 2008).

Progressive averages from the *flat+slope* experiment (using the idealized bathymetry and slope describes in Section 2) with full forcing and 20 km resolution (Figs. 2d, 2e, and 2f), show that, in the absence of topographic forcing, striations emerge on similar time scales and have similar magnitude, but evince more spatial coherence. This suggests that topography plays a significant, but lesser influence on offshore striations, in agreement with South Pacific observations (Buckingham and Cornillon 2013). It is, however, clear that the primary source of striation energy is located near the eastern boundary and that striation development is kinematically consistent with beta-plumes.

131 To determine the sensitivity of striation development to nonlinear background 132 velocity regimes, we examine two additional *flat+slope* experiments, the first in which the 133 magnitude of the wind forcing is reduced by a factor of ten (i.e. weakly nonlinear), and a 134 second in which the resolution of the model is additionally coarsened to 40 km (i.e. 135 weakly nonlinear and non-eddy-resolving). The results of these experiments are 136 indistinguishable visually (not shown) and images are derived from the weakly 137 nonlinear/eddy-resolving case (Figs. 2g, 2h, and 2i). Model output still evinces 138 development of apparent eastern boundary beta-plumes. Striations still dominate 300 m 139 zonal velocity and are maintained at a comparable magnitude to that of the full forcing 140 case. Meanders take longer to develop with the reduced wind energy input (Fig. 2e and 141 2h), and striations are more strongly zonal due to a decreased large-scale circulation.

To evaluate the importance of the continental slope in the formation of striations, we performed three experiments with uniform 5000 m bottom depth and vertical continental boundaries (*flat* experiments, Table 1). When we remove the continental sole in the *flat* experiment, the magnitude of striations decreases to roughly half that of the *control* and *flat+slope* runs (Figs. 3a, 3b, and 3c) even though the wind forcing is the same, and the gyre circulation is maintained at the same magnitude (Figs. 1b and 1c). The meanders that are sources of vorticity for striations are weaker in the *flat* run (Fig.1c),

149 which may explain the reduced striation magnitude. Continental slopes also impose a 150 dynamical boundary to the offshore propagation of potential vorticity anomalies, so that 151 anomalies from the coast are "trapped" on the shelf and unable to propagate freely 152 offshore until they reach a critical magnitude. Although we do not examine the dynamics 153 of this potential vorticity trapping in detail, we hypothesize that the absence of the 154 continental slope in the *flat* run allows beta plumes to propagate westward independently 155 of their magnitude. Consistent with this hypothesis, when we reduce the wind magnitude 156 by a factor of ten in the *flat* weakly nonlinear experiment (Table 1), striation strength is 157 also reduced by an order of magnitude (Figs. 3d, 3e, and 3f). This linear response to the 158 wind magnitude is not observed in the *flat+slope* case, where reducing the wind forcing 159 by an order of magnitude only reduces striation strength by a small fraction (Figs. 2f and 160 2i). This leads us to conclude that without a continental slope, striations freely propagate 161 offshore as they develop, whereas in the slope case, anomalies must reach a critical 162 magnitude in order to escape. Despite the slower spin-up of the CCS in the weakly 163 nonlinear *flat+slope* experiment, the magnitude enforced by the slope ensures that 164 striations remain strong in the mean (Fig. 2i). The results of the *flat* weakly nonlinear non-165 eddy-resolving experiments are again visually indistinguishable and are not presented.

The role of coastal geometry was further explored in the *wall* experiments (Table 1) by removing the coastline and setting a wall along the eastern boundary (125°W) (Fig. 1d). While the spin-up is characterized by the formation of striations, they are short-lived in the mean, and their signature eventually disappears (Figs. 3g, 3h, and 3i). Striations are subsumed in the mean because meanders are no longer anchored to coastal features and propagate freely, consistent with the Wang et al. (2012) model.

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# **4.** CONCEPTUAL MODEL FOR STRIATIONS IN THE EASTERN NORTH PACIFIC

By analyzing the spin-up of the ROMS model, we showed that Northeast Pacific striations are not necessarily forced by surface fluxes of momentum or buoyancy, but can develop from vorticity sources associated with topography and/or instabilities along the eastern boundary, a process for which we propose the following mechanism.

178 EBC flow is unstable (Walker and Pedlosky 2002, Hristova et al. 2008, Wang et al. 179 2012), and generates meanders that are anchored to coastal features (Batteen, 1997; 180 Centurioni et al., 2008). The associated vorticity propagates westward as a beta-plume, 181 consistent with observations of striation attachment to CCS meanders (Centurioni et al. 182 2008). It also agrees with the two most basic observations presented here: that persistent 183 striations are energized within the boundary current as it spins up, and that they develop 184 primarily in response to coastal geometry. This progression is most clear in the *flat* 185 experiment (Figs. 3a, 3b, and 3c), where jet patterns remain in the absence of bottom 186 topography and continental slope, and in the *wall* experiment, in which permanent 187 striations could not develop without coastal features to anchor vorticity anomalies.

These results strongly suggest that intense striations arise at the coast. The fact that striations emerge in a non-eddying regime indicates that they are unlikely to result solely from time-averaged mesoscale eddy tracks, consistently with recent results from idealized models (Nadiga and Straub, 2010) and observations (Ivanov et al., 2012; Buckingham and Cornillon, 2013). The extreme contrast in magnitude between the *flat+slope* weakly nonlinear and *flat* weakly nonlinear experiments indicates that potential vorticity trapping constrains striation strength.

195 There are a number of significant idealizations in our model. Climatological wind 196 forcing precludes small-scale winds that may modulate striations (Chelton et al., 2004; 197 Taguchi et al., 2012). NCEP winds also produce biases in EBC's (Colas et al., 2012; 198 Cambon et al., 2013), which may alter stratification and associated coastal instabilities. A 199 purely kinematic treatment is also limited in its ability to determine the wider role of 200 striations in the mean circulation, as well as to generalize to other basins. Further study 201 that focuses on the dynamics and vorticity budgets of striations will be vital an 202 understanding of the dynamical balances associated with their generation.

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382	FIGURE	<b>CAPTIONS</b>
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Figure 1. - 1a, 1b, 1c, and 1d show the 120 year means of sea surface height (SSH) from our
 *control, flat+slope, flat,* and *wall* experiments, respectively.

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- **Figure 2.** 2a-2c show progressive averages of 300 m depth zonal currents (*u*) at 6, 12, and 120
- 388 months, respectively, from our *control* experiment . 2d-2f are the corresponding plots for the
- 389 *flat+slope* case, while 2g-2i show similar plots for the *flat +slope* weakly nonlinear experiment.

- **Figure 3.** 3a-3c show progressive averages of 300 m *u* at 6, 12, and 120 months, respectively,
- from our *flat* experiment . 3d-3f correspond to the *flat* weakly nonlinear case. 3g-3i corespond to
- 393 the *wall* experiment.







Exp. Name	Geometry	Forcing	Resolution
control	Full topography	full	20 km
<i>flat+slope</i> <i>flat+slope</i> , weakly nonlinear <i>flat+slope</i> , weakly nonlinear, non-eddy-resolving	Flat bottom at 5000 m with uniform continental shelf along the eastern boundary	full full/10 full/10	40 km 40 km 40 km
<i>flat</i> <i>flat,</i> weakly nonlinear <i>flat,</i> weakly nonlinear, non-eddy-resolving	Flat bottom at 5000 m	full full/10 full/10	20 km 40 km 40 km
wall	Flat bottom at 5000 m with eastern boundary meridional wall	full	20 km